

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Evonik Degussa GmbH

Application No./Patent No.: 10/530,491

Filed/Issue Date: April 6, 2007

Entitled: PYROGENIC SILICON DIOXIDE AND A DISPERSION THEREOF

Evonik Degussa GmbH

(Name of Assignee)

, a Corporation

(Type of Assignee, e.g., corporation, partnership, government agency, etc.)

States that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.

The extent (by, percentage) of its ownership interest is _____%

in the patent application/patent identified above by virtue of an assignment from the inventor(s) of the patent application/patent identified above. A copy of the assignment is attached. The assignment was previously recorded or is being recorded concurrently herewith.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Corwin Paul Umbach

Signature

August 15, 2008

Date

Corwin P. Umbach

Printed or Typed Name

703-413-3000

Telephone Number

40,211

Registration Number

DOCKET NO: 268419US0X PCT

Assignment of Application

INSERT NAMES
AND RESIDENCE
ADDRESS OF
THE INVENTORS.

WHEREAS, I (WE) 1) Martin MOERTERS of:

Dinkelbergstrasse 6, Rheinfelden 79618, Germany

2) Helmut MANGOLD of:

In der Gartel 2, Rodenbach 63517, Germany

3) Monika OSWALD of:

Burgallee 6c, Hanau 63454, Germany

4) Kai SCHUMACHER of:

Berliner Strasse 16, Hofheim 65719, Germany

5) Heinz LACH of:

Im Lochseif 63, Rodenbach 63517, Germany

6) Gerrit SCHNEIDER of:

Eppsteinstrasse 51, Hanau 63456, Germany

, respectively,

INSERT TITLE OF
INVENTION:

have invented certain new and useful improvements in: PYROGENIC SILICON DIOXIDE AND A DISPERSION
THEREOF

(Application No. 10/530,491, filed April 6, 2005), and

WHEREAS, Degussa AG

INSERT NAME
AND ADDRESS OF
COMPANY OR
OTHER ASSIGNEE

(hereinafter referred to as "ASSIGNEE") having a place of business at: Bennigsenplatz 1, Duesseldorf 40474, Germany is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I (WE), by these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I (WE) hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my (our) entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

Further, I (WE) agree that I (WE) will communicate to said ASSIGNEE or its (his) representatives any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letter Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

The undersigned hereby grant(s) the firm of Oblon, Spivak, McClelland, Maier & Neustadt, P.C. of 1940 Duke Street, Alexandria, Virginia 22314 the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: 05/03/2005

(Signature of Inventor)

Martin MOERTERS

Date: 05/02/2005

(Signature of Inventor)

Helmut MANGOLD

Date: 04/29/05

(Signature of Inventor)

Monika OSWALD

Date: 04/28/05

(Signature of Inventor)

Kai SCHUMACHER

Date: 04/29/05

(Signature of Inventor)

Heinz LACH

Date: 05/02/2005

(Signature of Inventor)

Gerrit SCHNEIDER

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.
ATTORNEYS AT LAW
1940 DUKE STREET
ALEXANDRIA, VIRGINIA 22314

NO: OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTA COMPANY: 1940 DUKE STREET

RECEIVED: 5/8/08

OBLON, SPIVAK, McCLELLAND
MAIER & NEUSTADT, P.C.

DOCKETING DEPT.

Initials/Date Docketed: _____

Type of Resp(s): _____

Due Date(s): _____



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ASSIGNOR:

DEGUSSA GMBH

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ASSIGNEE:

EVONIK DEGUSSA GMBH
RELLINGHAUSER STRASSE 1-11
ESSEN 45128, GERMANY

SERIAL NUMBER: 10530491

FILING DATE: 04/13/2007

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TITLE: PYROGENIC SILICON DIOXIDE AND A DISPERSION THEREOF

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JOANN STEWART, EXAMINER
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Degussa GmbH

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Other

Execution Date: September 12, 2007

2. Name and address of receiving party(ies):

Name: Evonik Degussa GmbH
Address: Rollringhauser Strasse 1-11
Essen 45128
Germany

Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

- ☐ This document is being filed together with a new application

A. Patent Application No.(s)

10/530,491

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220

6. Total applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed
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8. Deposit account number: 15-0030

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Marvin J. Spivak

Name of Person Signing

Signature

Date

Registration Number: 24,913

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MAY 21, 2008

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ASSIGNOR:
DEGUSSA AG

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ASSIGNEE:
DEGUSSA GMBH
BENNIGSENPLATZ 1
DUESSELDORF D-40474, GERMANY

SERIAL NUMBER: 10530491

FILING DATE: 04/13/2007

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Due Date(s): _____

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